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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q67251

Tomoki TAKAHASHI, et al.

Appln. No.: 09/988,286

Group Art Unit: 2834

Confirmation No.: 8469

Examiner: Hanh N. NGUYEN

Filed: November 19, 2001

For: ALTERNATOR

**REQUEST FOR ACKNOWLEDGEMENT OF REFERENCES  
CITED IN INFORMATION DISCLOSURE STATEMENT**

**MAIL STOP ISSUE FEES**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Examiner is respectfully requested to acknowledge receipt and consideration of the references cited in the Information Disclosure Statement (IDS) filed February 4, 2004 by providing an initialed copy of the PTO/SB/08 A & B form submitted along with the IDS.

Copies of the papers as filed in the U.S. Patent and Trademark Office on February 4, 2004 are attached for the Examiner's convenience.

Respectfully submitted,

Christopher R. Lipp  
Registration No. 41,157

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: March 22, 2004

Attorney Docket No.: Q67251



38

**FILING RECEIPT**

**PLEASE DATE STAMP AND RETURN TO US - BOX 235X**

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**PAPER(S) FILED ENTITLED:**

1. Information Disclosure Statement (with a copy of Communication from a foreign patent office (with English Translation), 2 references with English language abstracts, and PTO/SB/08 A & B (modified))
2. Statement Under 37 C.F.R. § 1.97(e)

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

DOCKET NO.: Q67251  
ATTORNEY/SEC: RCT/CRL/tlb  
Date Filed: February 4, 2004

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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**FILED**

FEB 04 2004

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/988,286

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith English language abstracts of the foreign language documents and a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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WASHINGTON OFFICE

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Filed: November 19, 2001

For: ALTERNATOR

**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**FILED**  
FEB 04 2004

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Christopher R. Lipp  
Registration No. 41,157

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Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

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Substitute for Form 1449 A & B/PTO <div style="text-align: center; border: 1px solid black; padding: 5px; margin: 10px 0;"> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> </div> (Use as many sheets as necessary)		<div style="text-align: right; font-weight: bold; margin-bottom: 5px;">Complete if Known</div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%;">Application Number</td><td>09/988,286</td></tr> <tr><td>Confirmation Number</td><td>8469</td></tr> <tr><td>Filing Date</td><td>November 19, 2001</td></tr> <tr><td>First Named Inventor</td><td>Hideki MORIKAKU</td></tr> <tr><td>Art Unit</td><td>2834</td></tr> <tr><td>Examiner Name</td><td>Hanh N. NGUYEN</td></tr> <tr><td>Attorney Docket Number</td><td>Q67251</td></tr> </table>		Application Number	09/988,286	Confirmation Number	8469	Filing Date	November 19, 2001	First Named Inventor	Hideki MORIKAKU	Art Unit	2834	Examiner Name	Hanh N. NGUYEN	Attorney Docket Number	Q67251
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<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;"> <b>PTO</b>            MAR 22 2004            TRADEMARK         </div>	1	of	1														

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 1			
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	04-137378		05/12/1992		Abstract
		JP	08-251889		09/27/1996		Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.